

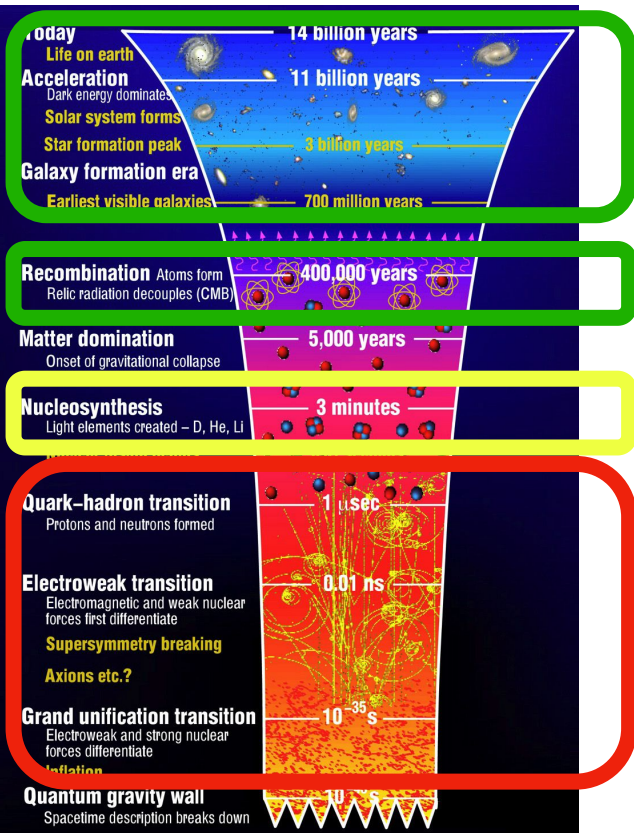
A night sky with a colorful view of the Milky Way galaxy, showing a dense band of stars in shades of purple, blue, and white. In the foreground, the metal structure of a radio telescope is visible, partially illuminated from below. The background is a dark, star-filled sky.

# Inflationary Insights with the CMB

**Joel Meyers**  
Michigan Cosmology  
Summer School 2023  
6-6-2023

Image Credit: ACT / Princeton

# History of the Universe



Direct Observations

Indirect Sensitivity

Discovery Space

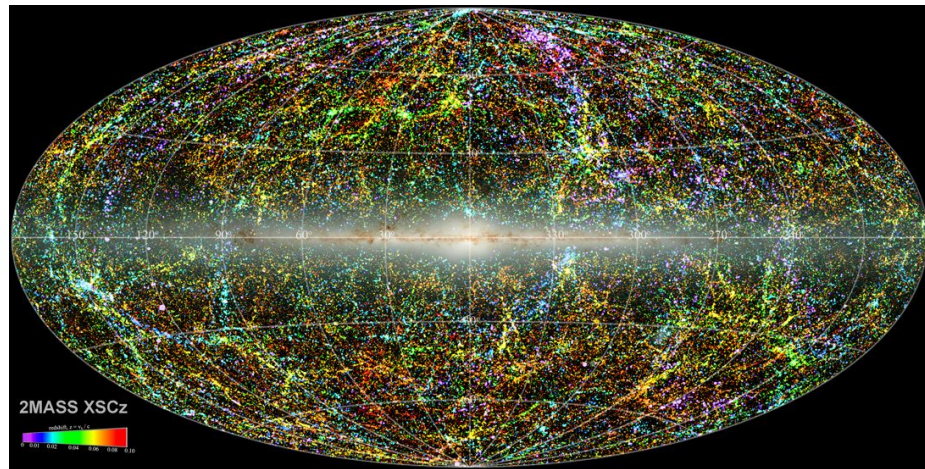
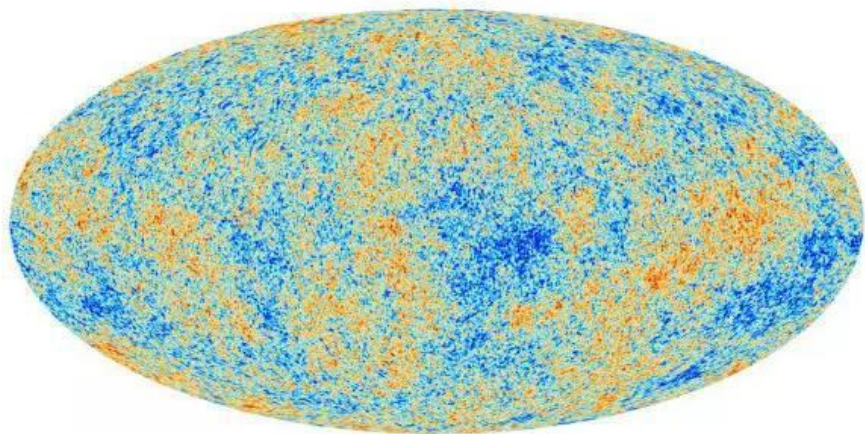
Cosmology describes 13.8 billion years of cosmic history

Direct observations are limited to a relatively small range of redshifts and energy scales

The CMB carries imprints from much earlier times and much higher energies:

- Lecture 1: Light Relics
- **Lecture 2: Inflation**

# The Question of Initial Conditions



- Why is the universe so homogenous on large scales?
- What sourced the fluctuations in density that seeded structure growth?

# Classical Dynamics of Inflation

Based on [TASI Lectures on Inflation](#) by Daniel Baumann

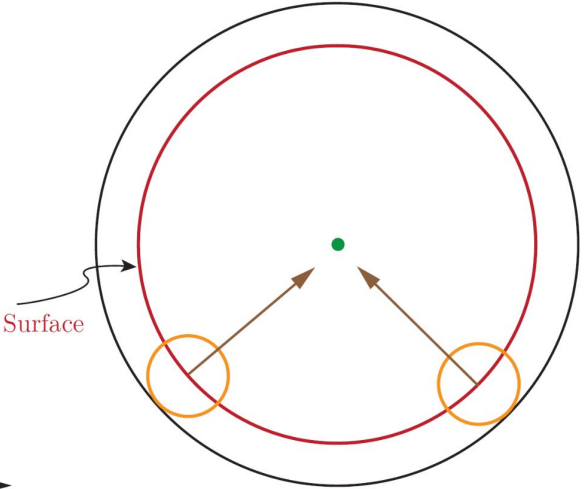
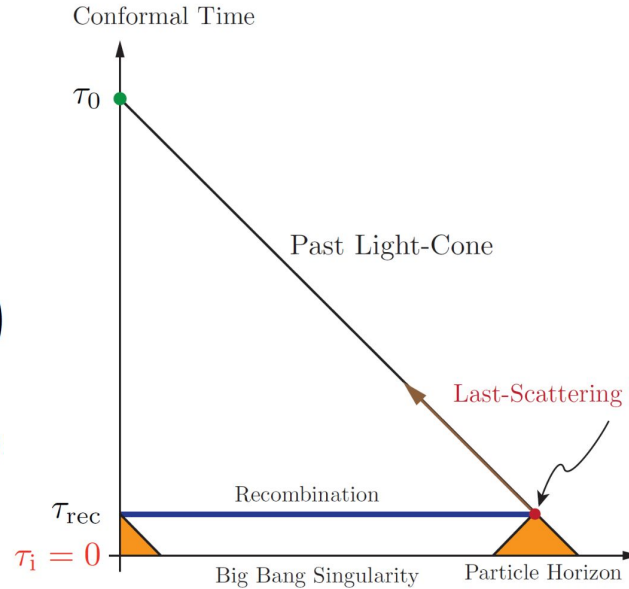
# Horizon Problem

- In the classical Hot Big Bang model, where the universe always contained only matter and radiation, the comoving Hubble sphere always grows:

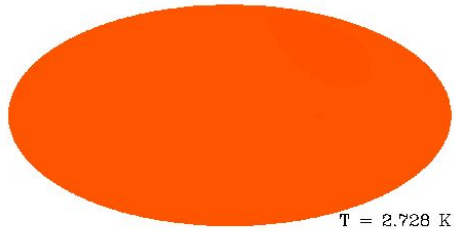
$$\tau \equiv \int_0^t \frac{dt'}{a(t')} = \int_0^a \frac{da}{Ha^2} = \int_0^a d \ln a \left( \frac{1}{aH} \right)$$

$$(aH)^{-1} = H_0^{-1} a^{\frac{1}{2}(1+3w)} \quad \tau \propto a^{\frac{1}{2}(1+3w)}$$

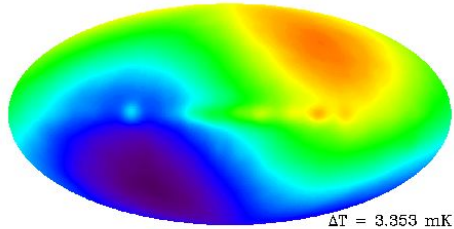
- In such a scenario, one would expect  $\sim 10^5$  causally disconnected regions at the surface of last scattering



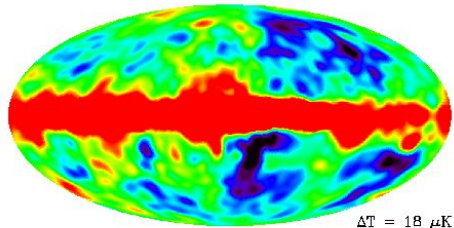
# The CMB is Observed to Be Very Uniform



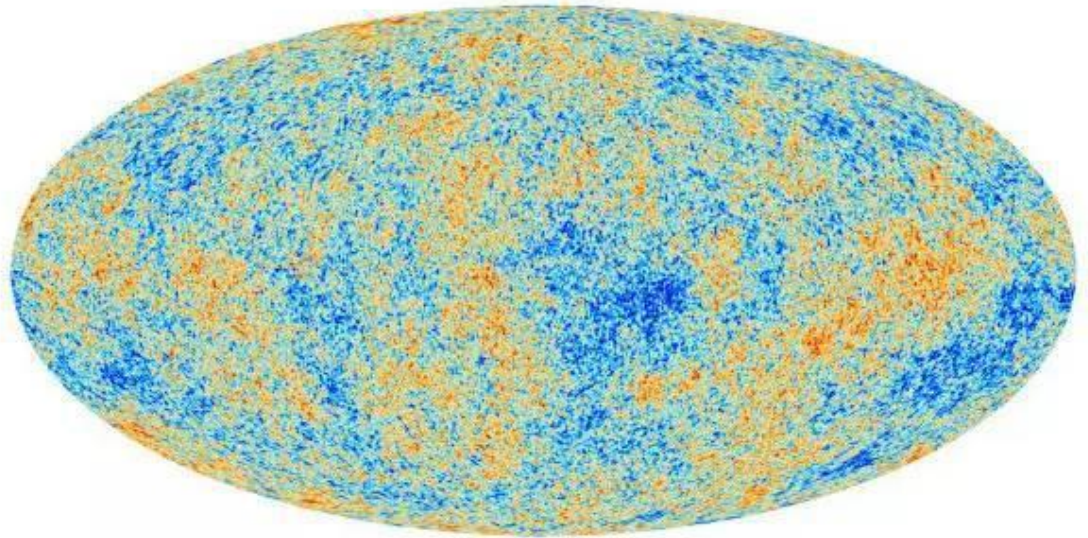
$T = 2.728 \text{ K}$



$\Delta T = 3.353 \text{ mK}$



$\Delta T = 18 \mu\text{K}$



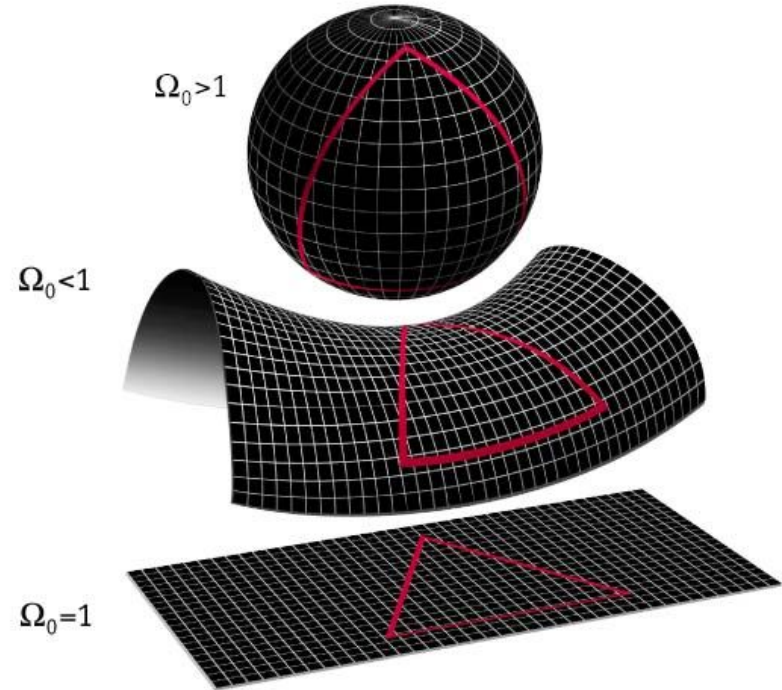
# Flatness Problem

- In the classical Hot Big Bang model, the deviation from spatial flatness grows with time

$$1 - \Omega(a) = \frac{-k}{(aH)^2}$$

$$\frac{d|\Omega - 1|}{d \ln a} > 0 \quad \Leftrightarrow \quad 1 + 3w > 0$$

- The observed flatness of the current universe would require extreme flatness in the distant past



MAP990006

# Inflationary Solution - Shrinking Hubble Sphere

- Inflation solves the Horizon and Flatness problems by providing a period with a shrinking Hubble sphere preceding the Hot Big Bang evolution

$$\frac{d}{dt} \left( \frac{H^{-1}}{a} \right) < 0 \quad \Rightarrow \quad \frac{d^2 a}{dt^2} > 0 \quad \Rightarrow \quad \rho + 3p < 0$$

- The Hubble sphere shrinks during accelerated expansion, which can be achieved with negative pressure

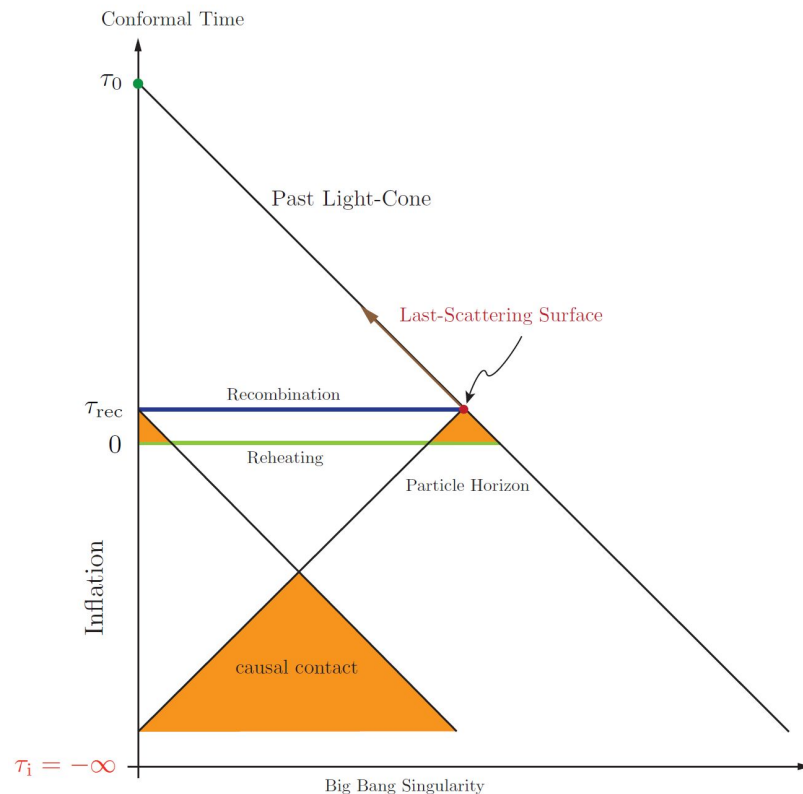


Image Credit: Baumann



# Simple Model of Inflation - Single Scalar Field

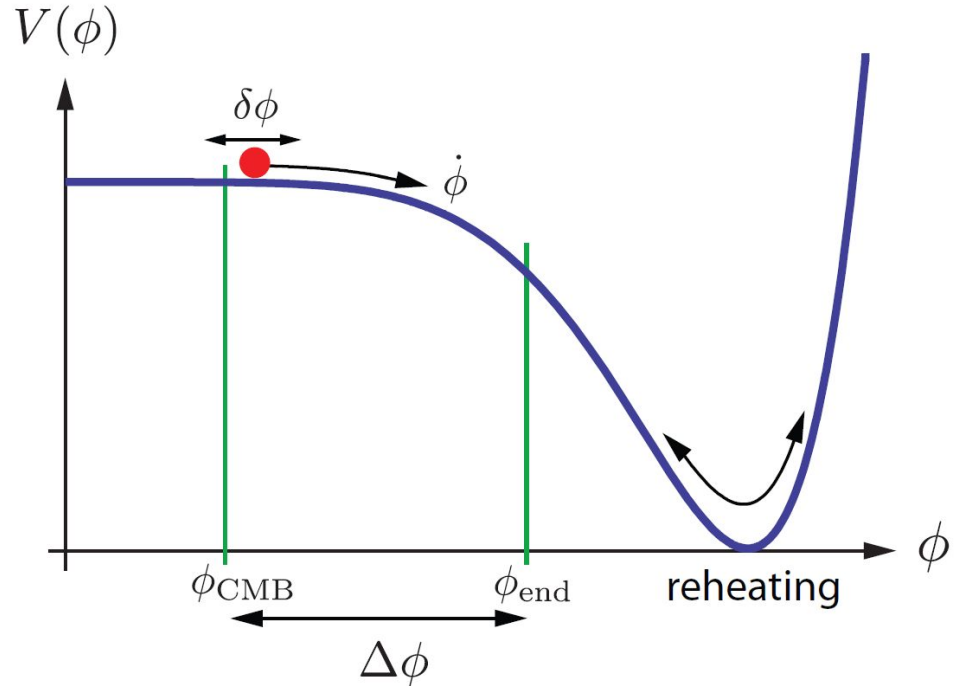
- Negative pressure can be achieved with a scalar field whose energy density is dominated by its potential

$$\rho_\phi = \frac{1}{2}\dot{\phi}^2 + V(\phi)$$

$$p_\phi = \frac{1}{2}\dot{\phi}^2 - V(\phi)$$

- A scalar field in an expanding universe acts like a ball rolling on a hill subject to friction

$$\ddot{\phi} + 3H\dot{\phi} + V_{,\phi} = 0$$



# Slow-Roll Inflation

- A sufficiently long period of accelerated expansion can be achieved if the scalar field obeys the slow-roll conditions

$$\varepsilon = -\frac{\dot{H}}{H^2} = \frac{1}{2} \frac{\dot{\phi}^2}{H^2}$$

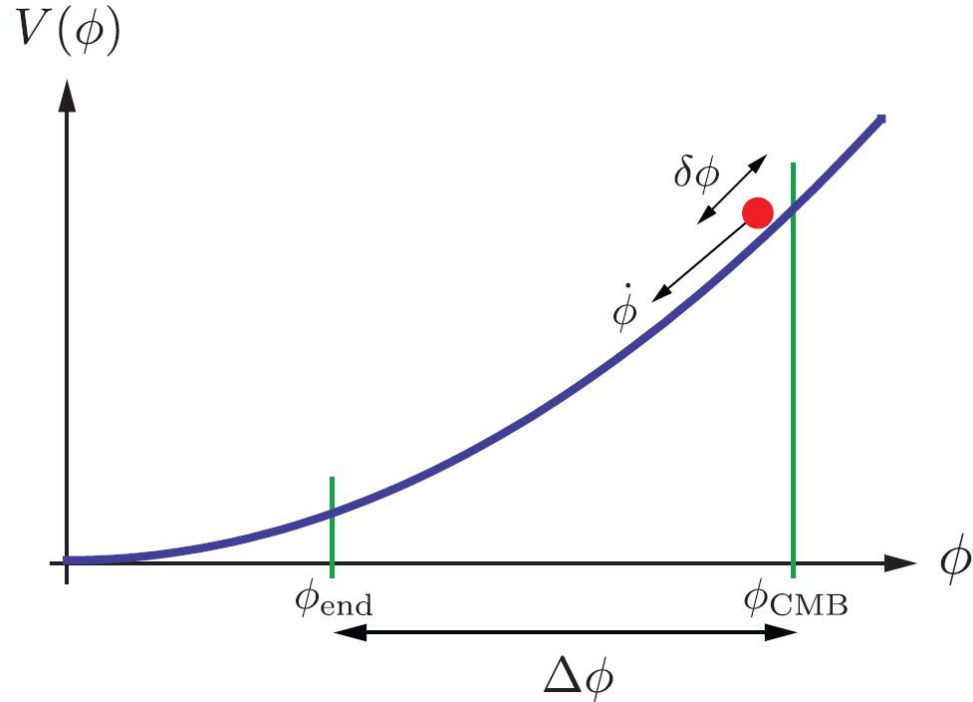
$$\varepsilon, |\eta| < 1$$

$$\eta = -\frac{\ddot{\phi}}{H\dot{\phi}} = \varepsilon - \frac{1}{2\varepsilon} \frac{d\varepsilon}{dN}$$

- The horizon and flatness problems are solved with enough inflationary expansion

$$N(\phi) \equiv \ln \frac{a_{\text{end}}}{a} = \int_t^{t_{\text{end}}} H dt = \int_{\phi}^{\phi_{\text{end}}} \frac{H}{\dot{\phi}} d\phi$$

$$N_{\text{tot}} \equiv \ln \frac{a_{\text{end}}}{a_{\text{start}}} \gtrsim 60$$



# Slow-Roll Approximation

- The conditions on the expansion can be reframed as conditions on the scalar field potential

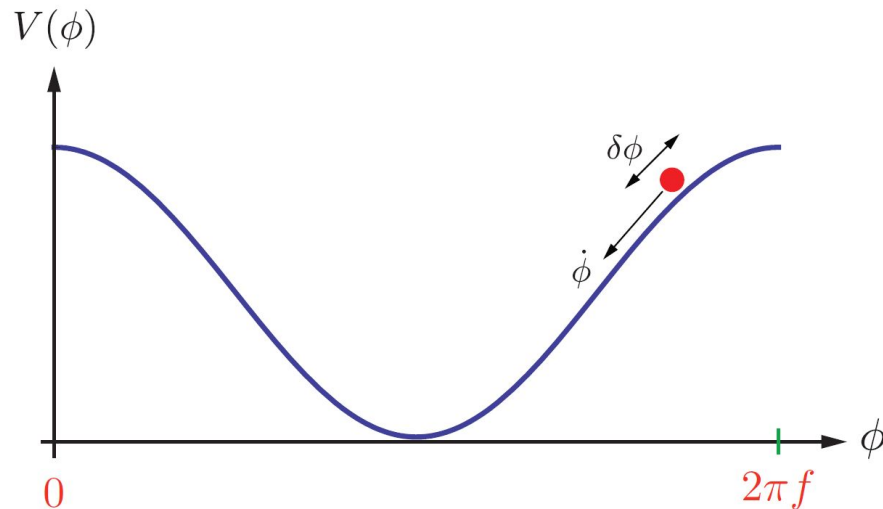
$$\epsilon_v(\phi) \equiv \frac{M_{\text{pl}}^2}{2} \left( \frac{V_{,\phi}}{V} \right)^2 \quad \eta_v(\phi) \equiv M_{\text{pl}}^2 \frac{V_{,\phi\phi}}{V}$$

$$\epsilon \approx \epsilon_v \quad \eta \approx \eta_v - \epsilon_v$$

- Successful slow-roll inflation requires a sufficiently flat potential
- Inflationary equations of motion simplify in slow-roll regime

$$\dot{\phi} \approx -\frac{V_{,\phi}}{3H}$$

$$H^2 \approx \frac{1}{3} V(\phi) \approx \text{const.}$$



# Quantum Fluctuations During Inflation

Based on [TASI Lectures on Inflation](#) by Daniel Baumann

# Fluctuations and Horizon Exit

- It is convenient to Fourier transform perturbations to the scalar field and the spacetime metric, since each mode evolves independently at linear order

$$\delta X(t, \mathbf{x}) \equiv X(t, \mathbf{x}) - \bar{X}(t)$$

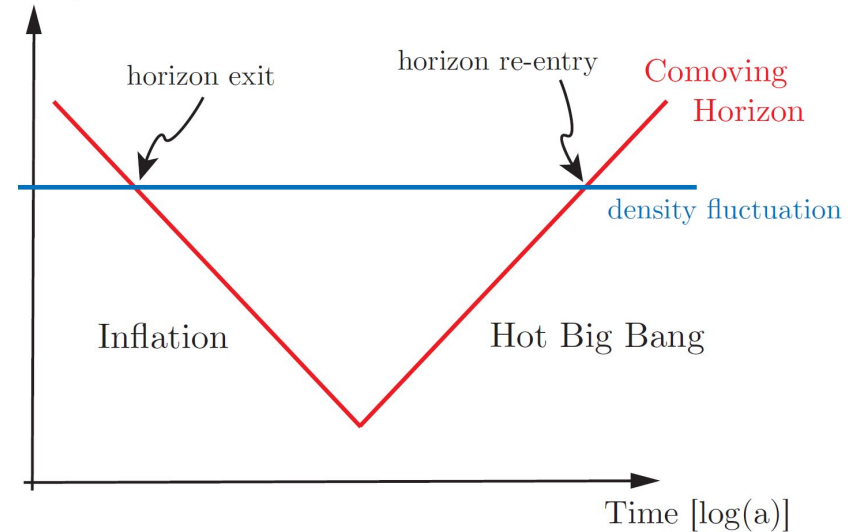
$$X_{\mathbf{k}}(t) = \int d^3\mathbf{x} X(t, \mathbf{x}) e^{i\mathbf{k}\cdot\mathbf{x}}$$

- The shrinking Hubble sphere during inflation causes fluctuation modes to exit the horizon during inflation and to re-enter the horizon after inflation

$$\text{subhorizon : } k \gg aH$$

$$\text{superhorizon : } k < aH$$

Comoving Scales



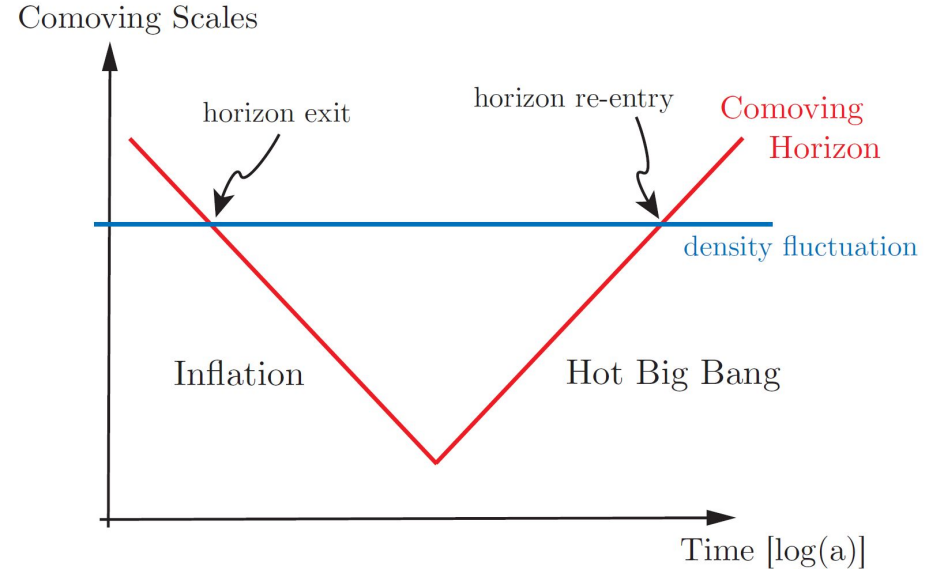
# Superhorizon Conservation

- We are primarily interested in scalar and tensor perturbations, described by the gauge-invariant quantities

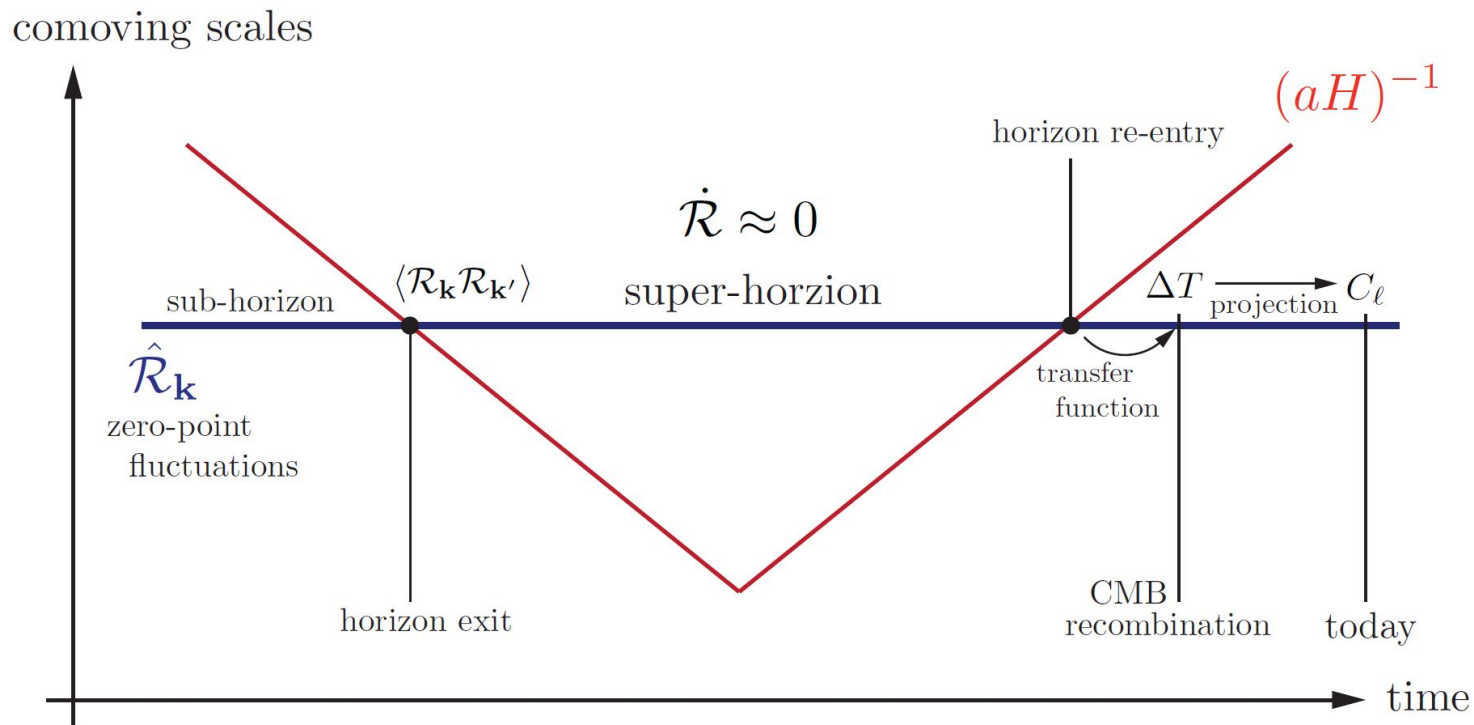
$$\mathcal{R} = \Psi + \frac{H}{\dot{\phi}} \delta\phi \quad h_i^i = \partial^i h_{ij} = 0$$

- These quantities are conserved on superhorizon scales during and after single-field inflation

$$\dot{\mathcal{R}} = -\frac{H}{\bar{\rho} + \bar{p}} \delta p_{en} + \frac{k^2}{(aH)^2} (\dots)$$



# From Quantum Fluctuations to Cosmological Perturbations



# Quantum Vacuum Fluctuations

- We are interested in the statistics of the curvature and metric perturbations at horizon exit

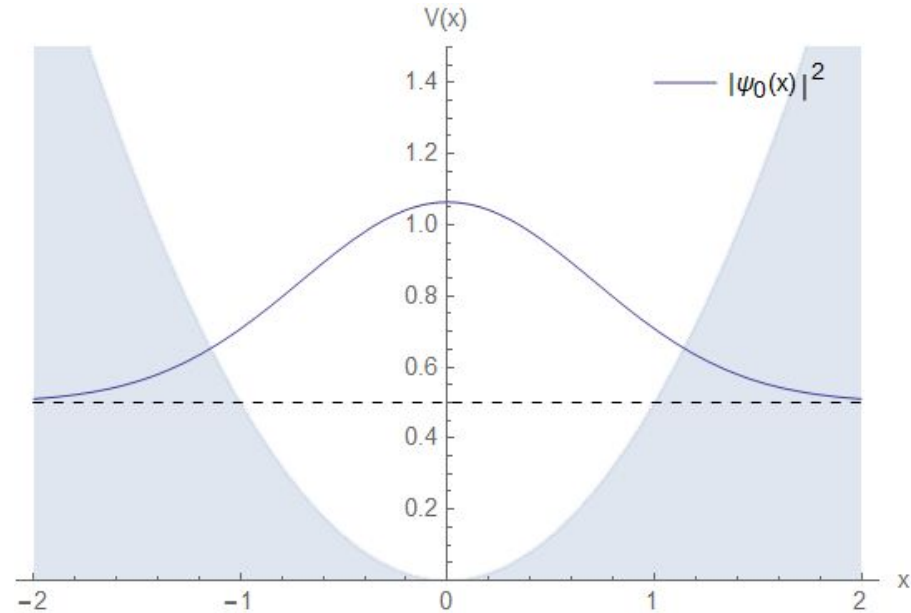
$$\langle \mathcal{R}\mathcal{R} \rangle = \int_0^\infty \Delta_{\mathcal{R}}^2(k) d \ln k$$

- Scalar fields which are light during inflation ( $m \ll H$ ) experience quantum fluctuations with variance fixed by the Hubble rate

$$\Delta_{\delta\phi}^2 = \left( \frac{H}{2\pi} \right)^2$$

- Perturbations to the inflaton change the duration of inflation

$$\mathcal{R} = H \frac{\delta\phi}{\dot{\phi}} \equiv -H\delta t$$



Quantum Harmonic Oscillator Ground State



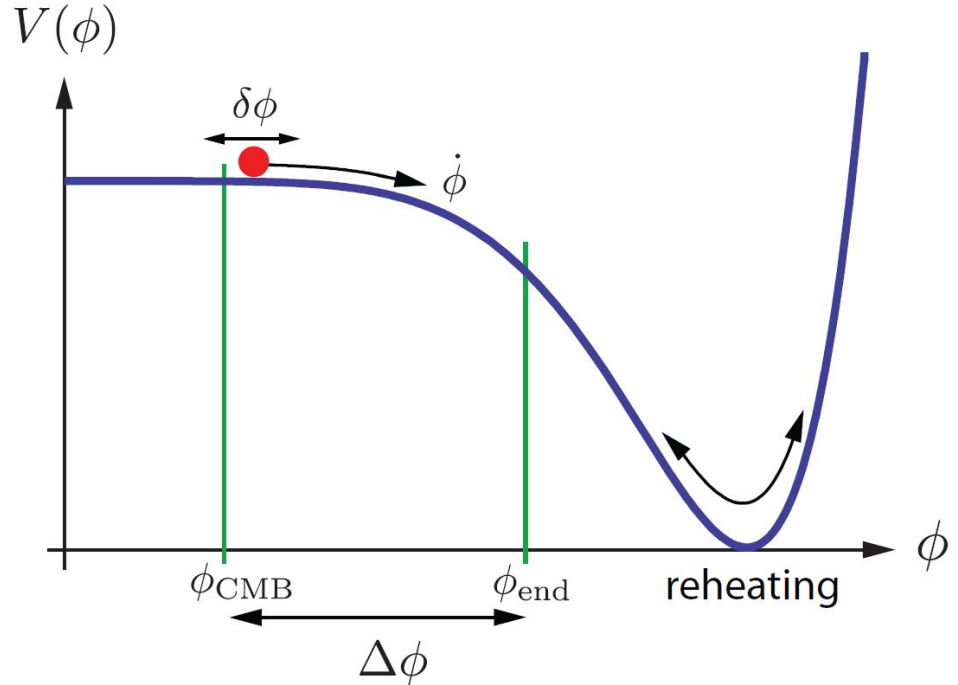
# Primordial Power Spectra

- The scalar spectrum is determined by the expansion rate and slow-roll parameter at horizon exit

$$\Delta_{\mathcal{R}}^2(k) = \frac{H_*^2}{(2\pi)^2} \frac{H_*^2}{\dot{\phi}_*^2} = \frac{1}{8\pi^2} \frac{H^2}{M_{\text{pl}}^2} \frac{1}{\epsilon} \Big|_{k=aH}$$

- Each polarization of tensor fluctuation acts just like a light scalar field, giving a fluctuation amplitude directly determined by the expansion rate

$$\Delta_t^2(k) \equiv 2\Delta_h^2(k) = \frac{2}{\pi^2} \frac{H^2}{M_{\text{pl}}^2} \Big|_{k=aH}$$

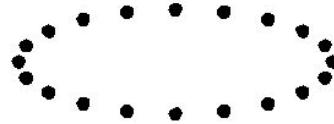


# Primordial Tensor Spectrum

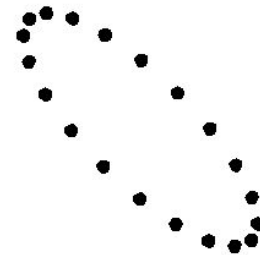
- A spectrum of primordial gravitational waves is a generic prediction of inflation
- Measuring the amplitude of primordial gravitational waves would indicate the energy scale of inflation
- The tensor-amplitude is often reported in terms of the tensor-to-scalar ratio

$$r \equiv \frac{\Delta_t^2(k)}{\Delta_s^2(k)} \quad \Delta_{\mathcal{R}}^2 \sim 10^{-9}$$

$$V^{1/4} \sim \left( \frac{r}{0.01} \right)^{1/4} 10^{16} \text{ GeV}$$



Plus polarization



Cross polarization

# Spectral Tilt

- The expansion rate and field velocity change during inflation, giving a scale-dependence to the fluctuation amplitude

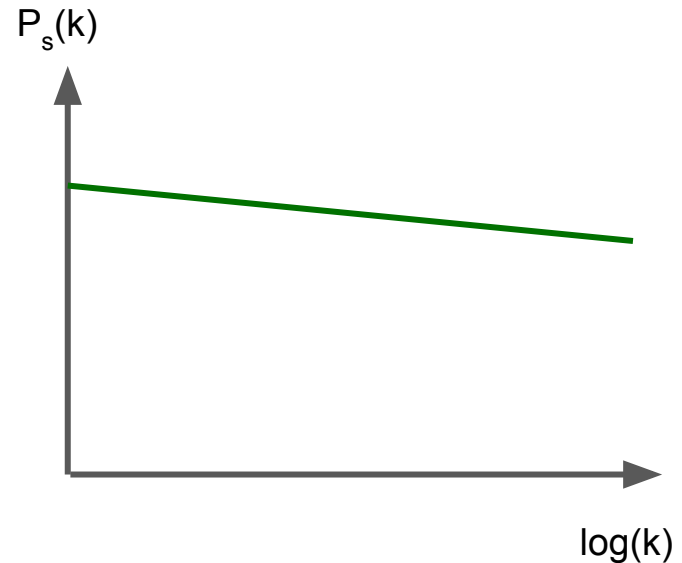
$$n_s - 1 \equiv \frac{d \ln \Delta_s^2}{d \ln k} \quad n_t \equiv \frac{d \ln \Delta_t^2}{d \ln k}$$

- The spectral tilt can be straightforwardly calculated in the slow-roll approximation

$$n_s - 1 = 2\eta_v^* - 6\epsilon_v^* \quad n_t = -2\epsilon_v^*$$

- All single-field slow-roll models of inflation obey a consistency relation

$$r = 16\epsilon_v^* \quad r = -8n_t$$

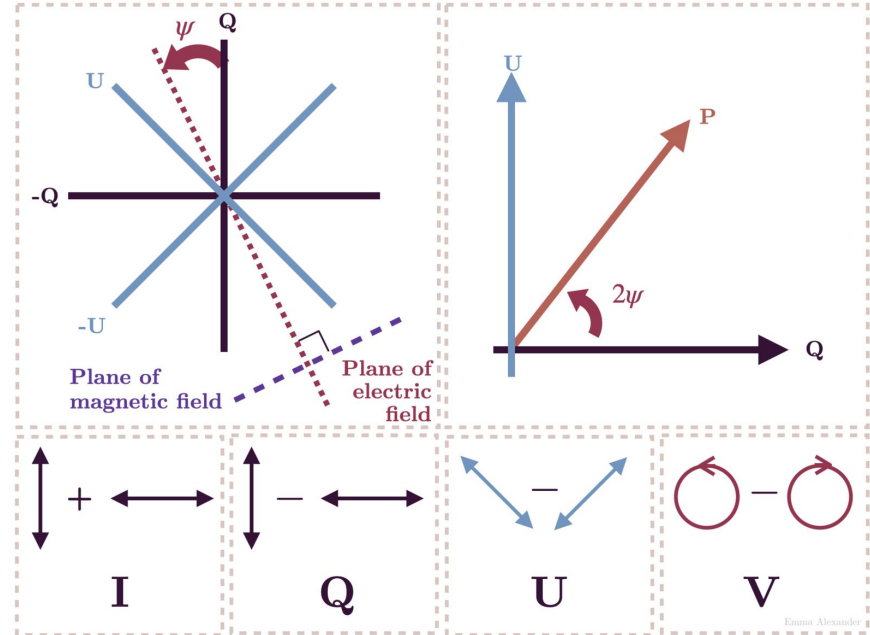
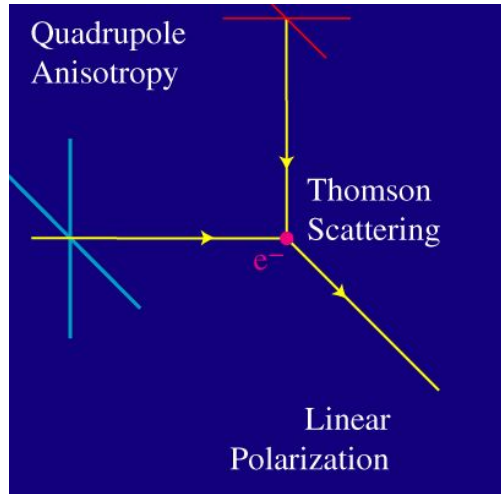


# Signals of Inflation in the CMB

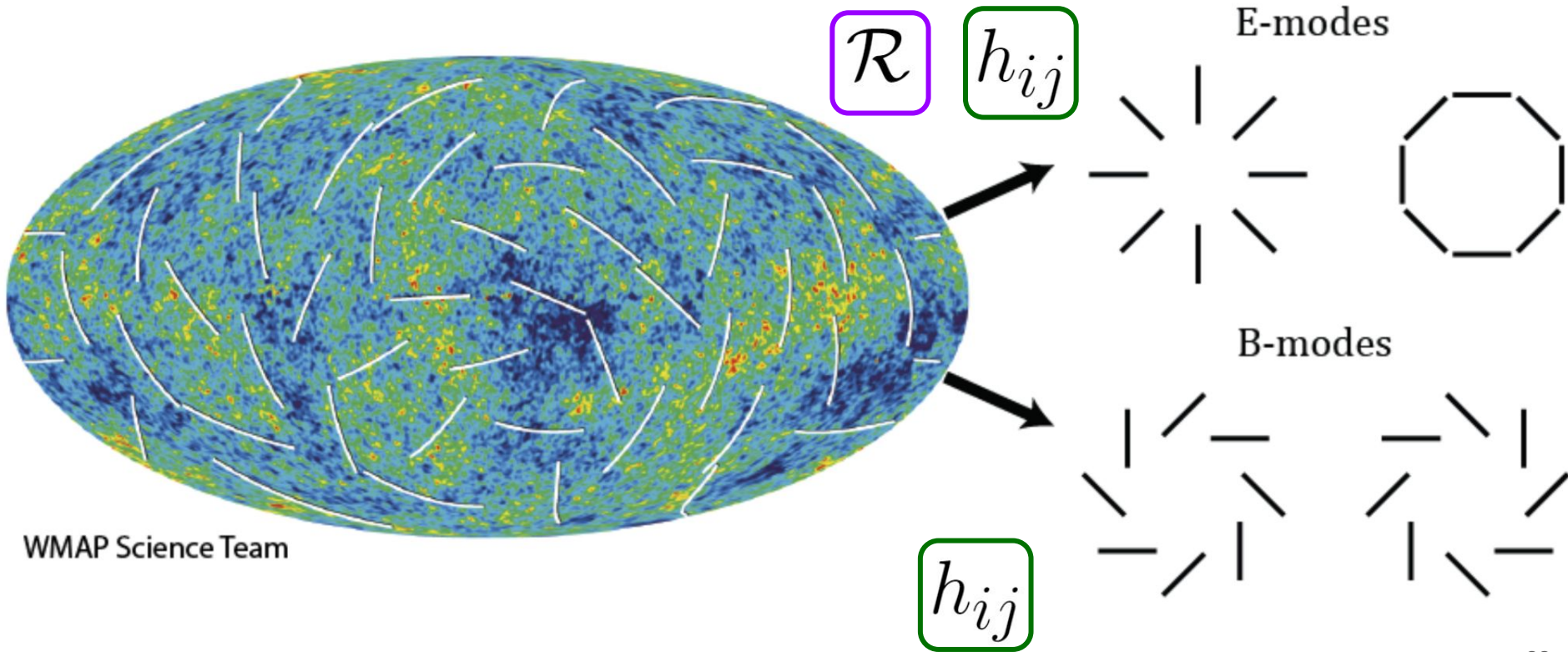


# Linear Polarization of the CMB

- Thomson scattering of CMB photons induces a linear polarization when the incident radiation exhibits a quadrupole anisotropy
- The polarization of the CMB can be characterized in terms of the Stokes parameters  $Q$  and  $U$



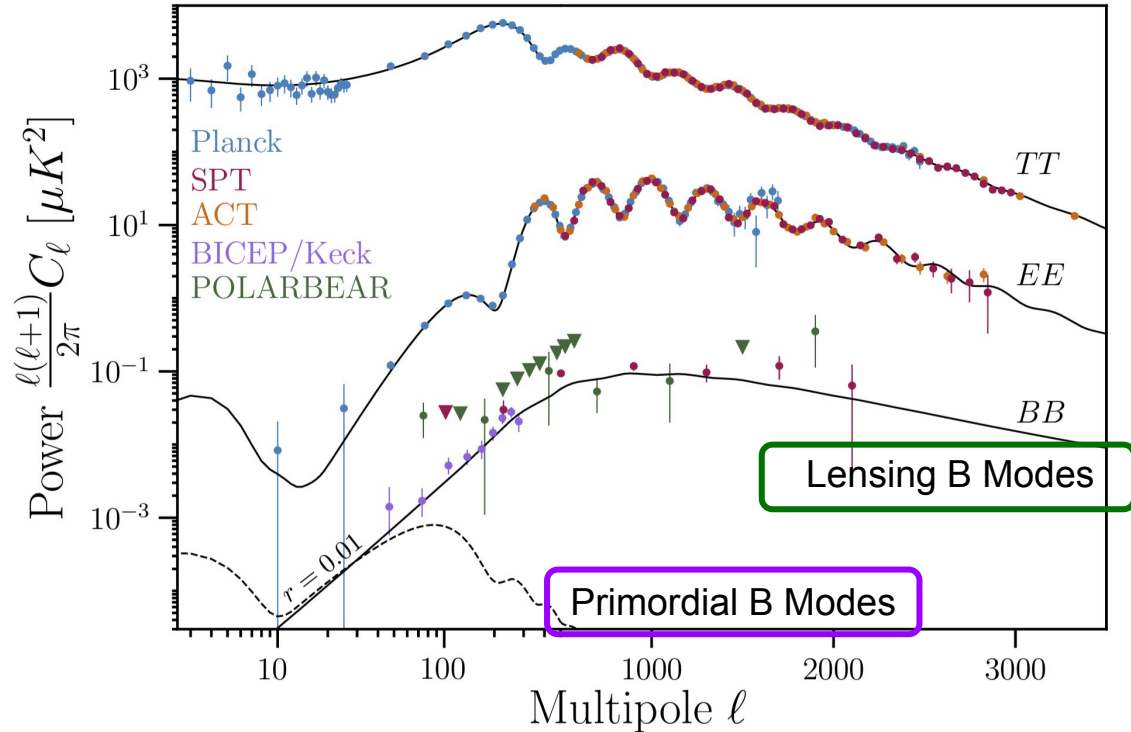
# E and B Modes



WMAP Science Team

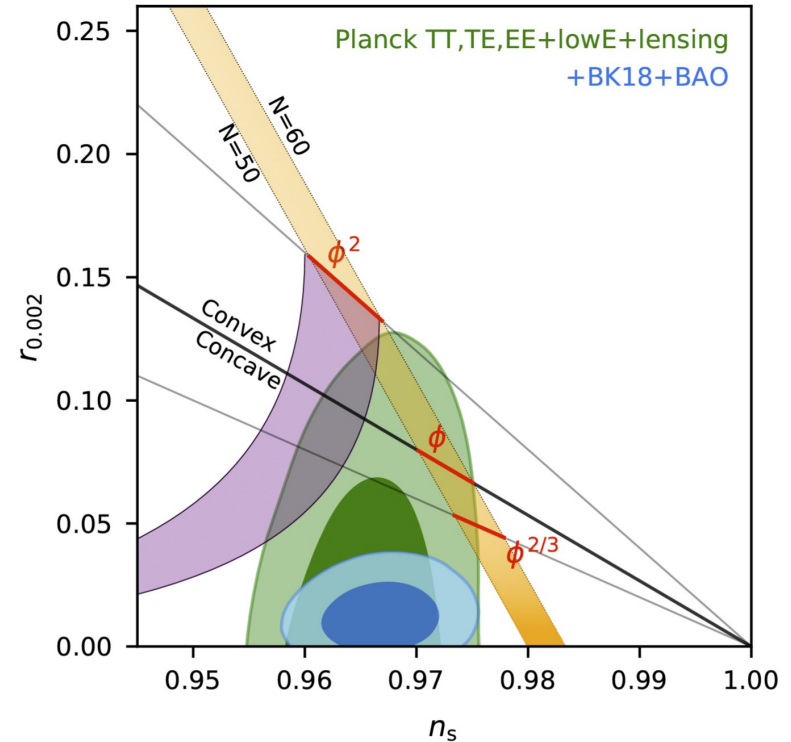
# T, E, and B Spectra

- We have precise measurements of temperature and E-mode polarization spectra that agree with the primordial scalar spectrum from inflation (nearly scale-invariant with small red tilt)
- We also measure the B-mode polarization spectrum, though unfortunately not yet from primordial gravitational waves



# Current Constraints

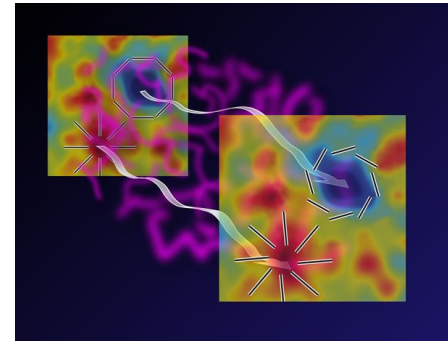
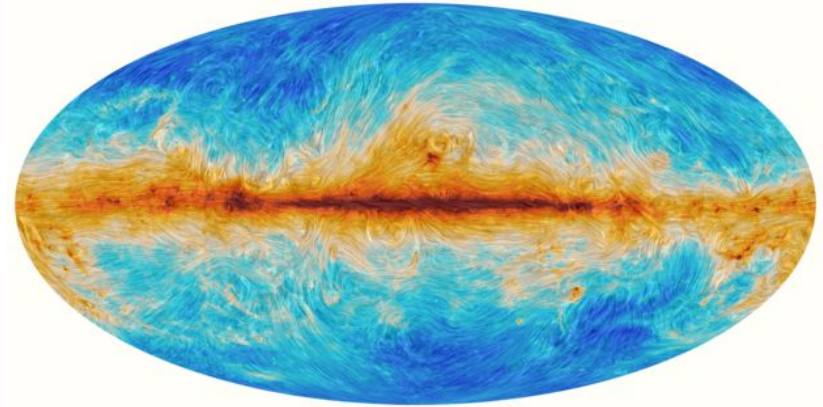
- The scalar spectral tilt  $n_s$  and tensor-to-scalar ratio  $r$  are predicted by each inflation model, specifying a point in the  $n_s - r$  plane
- Observational constraints therefore test specific models of inflation
- The simplest  $\phi^2$  model of inflation is already strongly disfavored by current observations





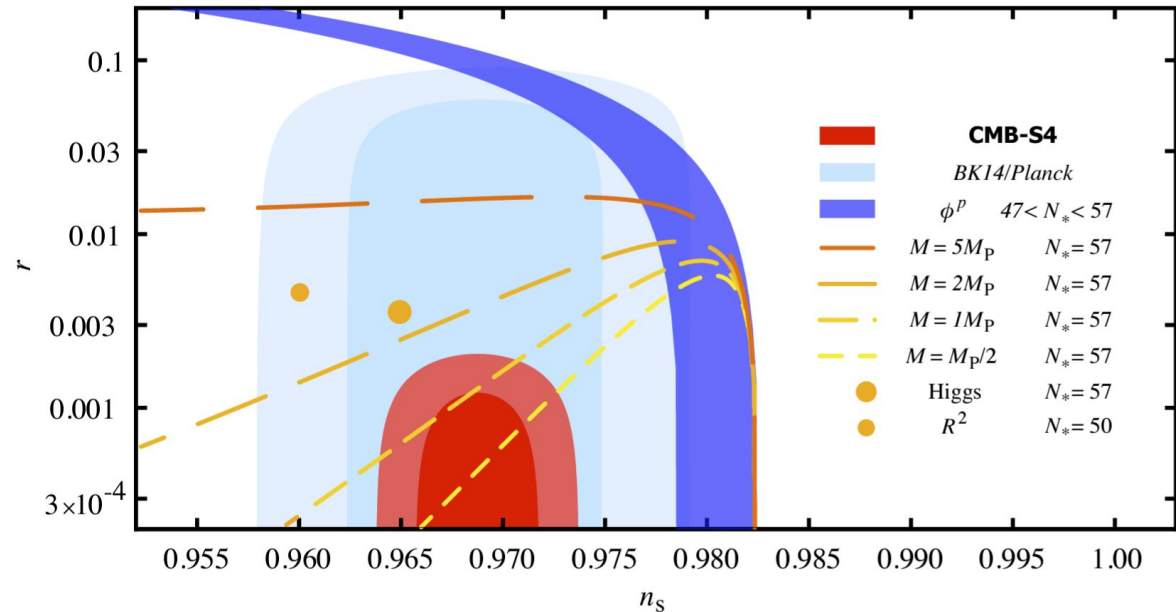
# Challenges for Detecting Primordial B Modes

- **Small Signal** - The primordial B-mode power is inherently small, and we do not know the amplitude
  - Requires very deep observations
- **Polarized Foregrounds** - Astrophysical foregrounds such as Galactic dust produce polarized emission
  - Requires multi-frequency observations
- **Gravitational Lensing** - Deflection of CMB photons converts E modes to B modes (**See Gil's Lecture after lunch**)
  - Requires delensing



# Future Prospects for Detecting Primordial B Modes

- Future surveys are designed to detect primordial gravitational waves or rule out a broad class of models that naturally explains the deviation from scale invariance
- CMB-S4 is designed to either achieve a  $5\sigma$  measurement of  $r > 0.003$  or set an upper limit of  $r < 0.001$  at 95% confidence



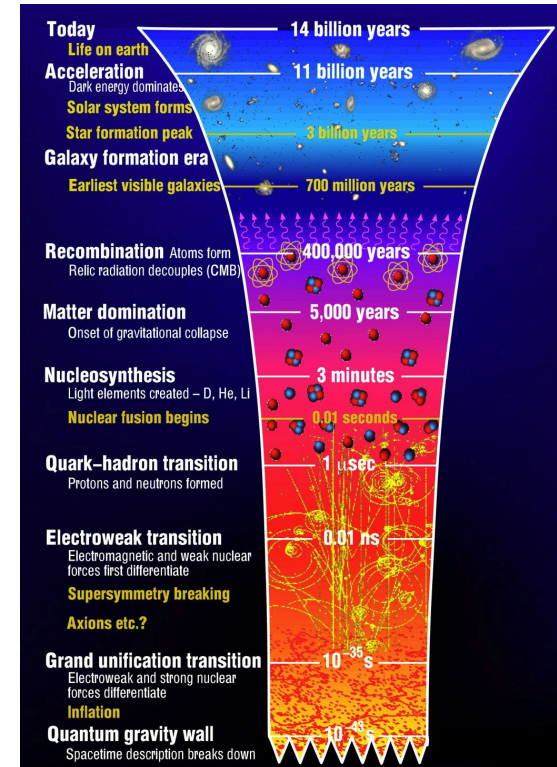
# Impacts of Detecting Primordial Gravitational Waves

- Direct probe of earliest times
- Evidence of quantization of gravity
- Strong evidence for inflation
- Determines energy scale of inflation
- New characteristic energy scale near GUT scale

$$V^{1/4} \sim \left( \frac{r}{0.01} \right)^{1/4} 10^{16} \text{ GeV}$$

- Rules out small-field models of inflation

$$r = \frac{8}{M_{\text{pl}}^2} \left( \frac{d\phi}{dN} \right)^2 \quad \frac{\Delta\phi}{M_{\text{pl}}} = \int_{N_{\text{end}}}^{N_{\text{cmb}}} dN \sqrt{\frac{r}{8}} = \mathcal{O}(1) \times \left( \frac{r}{0.01} \right)^{1/2}$$



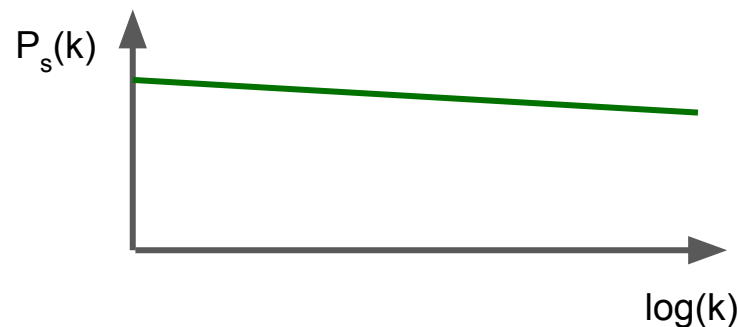
# Primordial Non-Gaussianity

A night sky photograph featuring the Milky Way galaxy, which appears as a dense, colorful band of stars stretching across the upper half of the frame. The stars are predominantly white and blue, with some reddish and purple hues. In the lower foreground, the dark, skeletal structure of a radio telescope is visible, showing its complex metal framework and a large, flat dish. The overall scene is set against a dark, star-filled background.

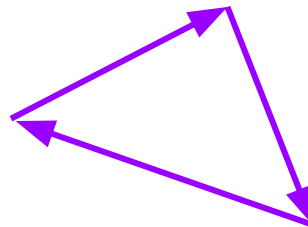
# Beyond the Power Spectrum

- The simplest models of inflation predict nearly Gaussian scalar fluctuations
- Fluctuations can be non-Gaussian, predicting higher-order correlations of curvature perturbations
- In principle, much more information about the details of inflation is contained in the higher-order correlations

$$\langle \mathcal{R}_{\mathbf{k}_1} \mathcal{R}_{\mathbf{k}_2} \rangle = (2\pi)^3 \delta(\mathbf{k}_1 + \mathbf{k}_2) P_{\mathcal{R}}(k_1)$$

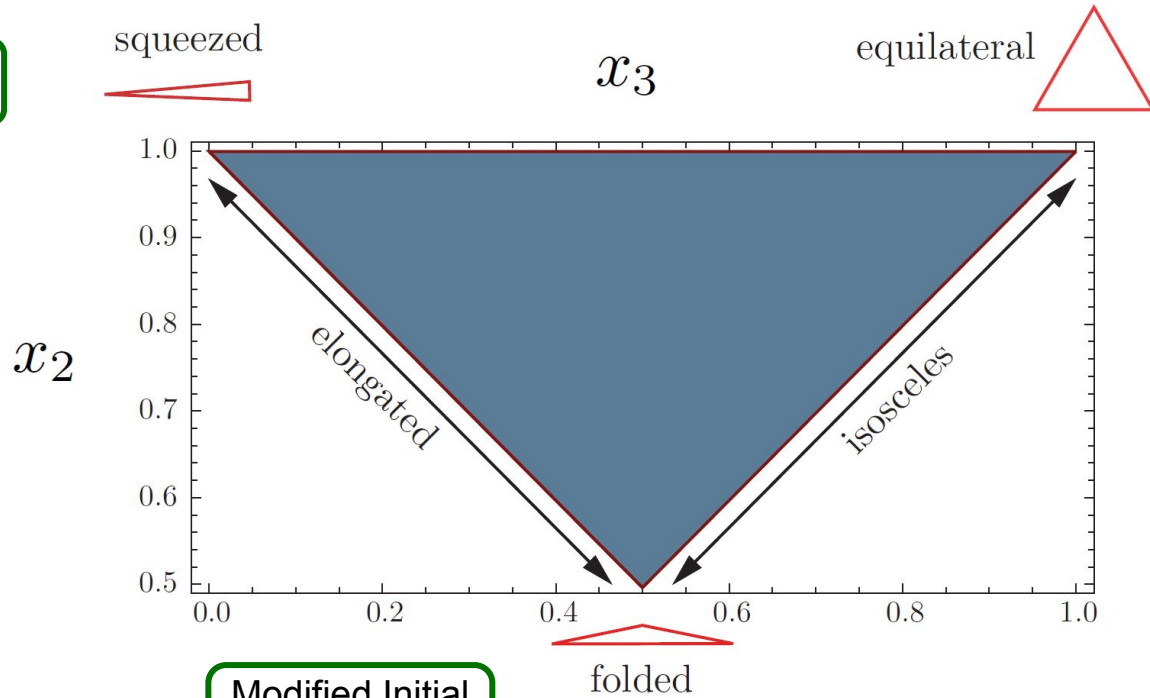


$$\langle \mathcal{R}_{\mathbf{k}_1} \mathcal{R}_{\mathbf{k}_2} \mathcal{R}_{\mathbf{k}_3} \rangle = (2\pi)^3 \delta(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3) B_{\mathcal{R}}(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3)$$



# Bispectrum Shapes

Multiple Fields

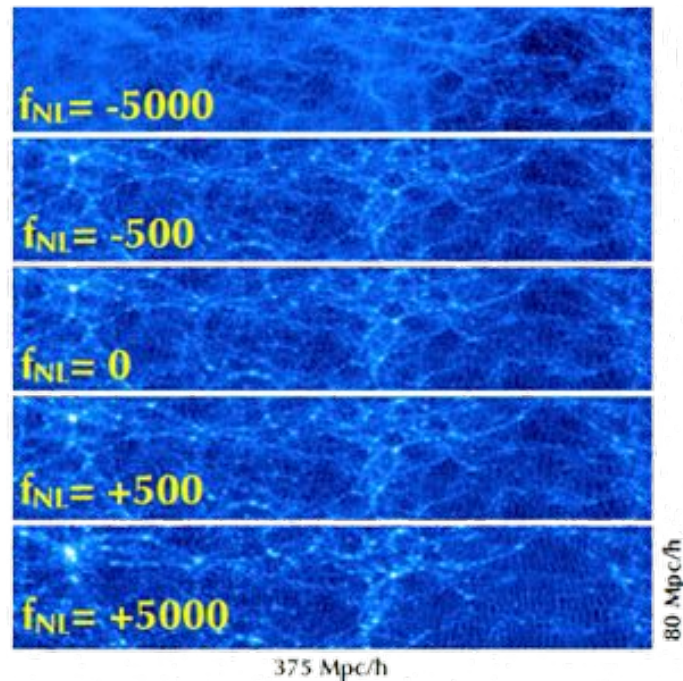
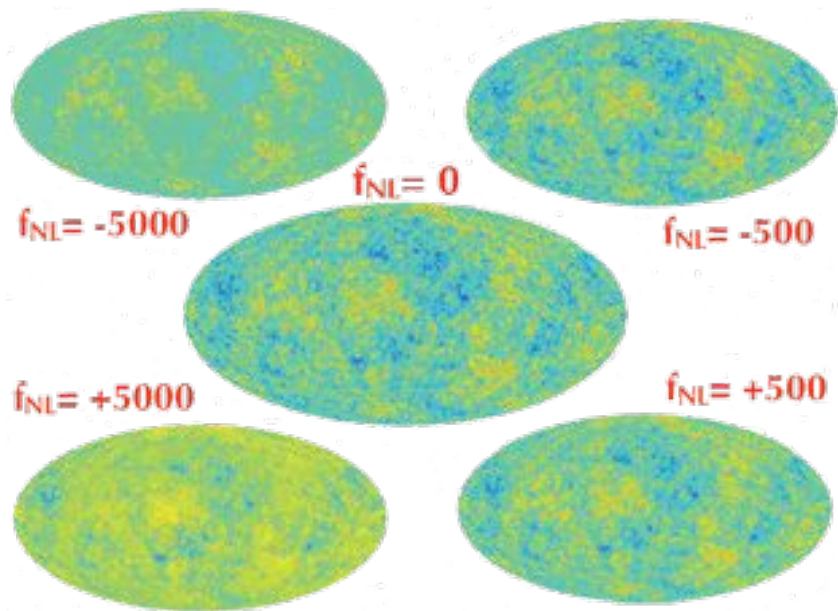


Derivative Interactions

Modified Initial States

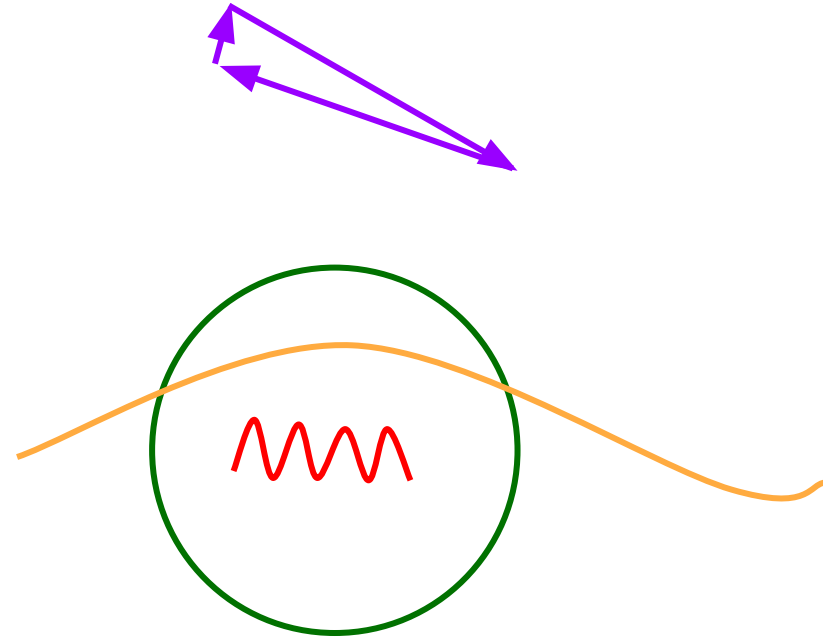
# Local (Squeezed) Non-Gaussianity

$$\mathcal{R}(\mathbf{x}) = \mathcal{R}_g(\mathbf{x}) + \frac{3}{5} f_{\text{NL}}^{\text{local}} \mathcal{R}_g(\mathbf{x})^2$$



# Single-Field Consistency Condition

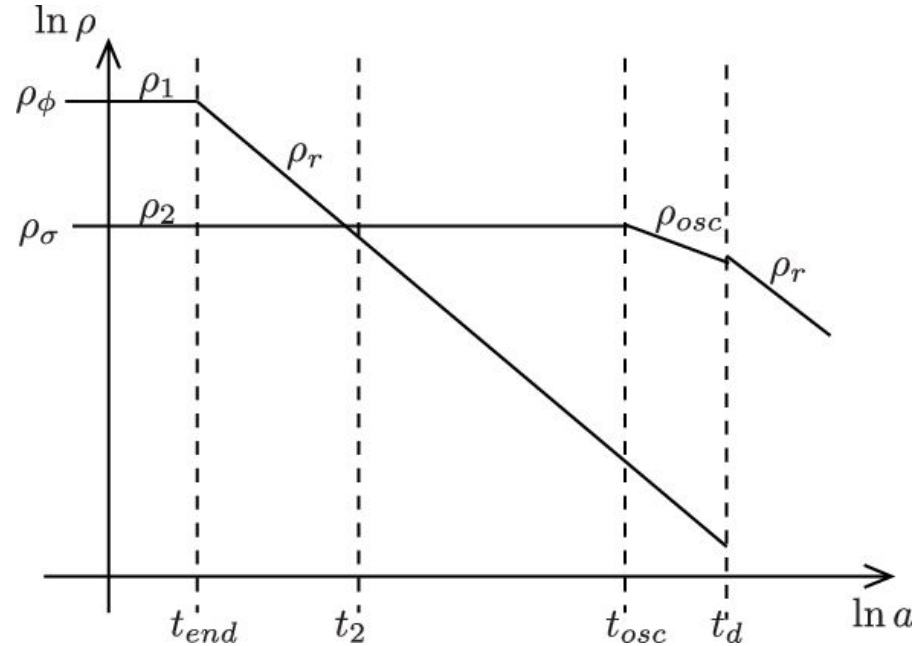
- All models of inflation with a single clock predict negligible squeezed bispectrum
- Long wavelength curvature fluctuations are locally indistinguishable from a rescaling of coordinates
- Small-scale power cannot correlate with long wavelength fluctuations apart from projection effects
- A detection of local (squeezed) non-Gaussianity would rule out all single field models of inflation





# Models Predicting Local Non-Gaussianity

- **Curvaton Scenario** - Spectator field is frozen due to Hubble friction during inflation, oscillates and redshifts like matter after inflation, decays to radiation
- **Modulated Reheating** - Value of spectator field determines rate of decay of inflation into radiation



Linde, Mukhanov (1997); Enqvist, Sloth (2002); Lyth, Wands (2002)  
Kofman (2003); Dvali, Gruzinov, Zaldarriaga (2004)

# Measuring Non-Gaussianity in the CMB

Current Planck constraints:

$$f_{\text{NL}}^{\text{local}} = -0.9 \pm 5.1$$

$$f_{\text{NL}}^{\text{equil}} = -26 \pm 47$$

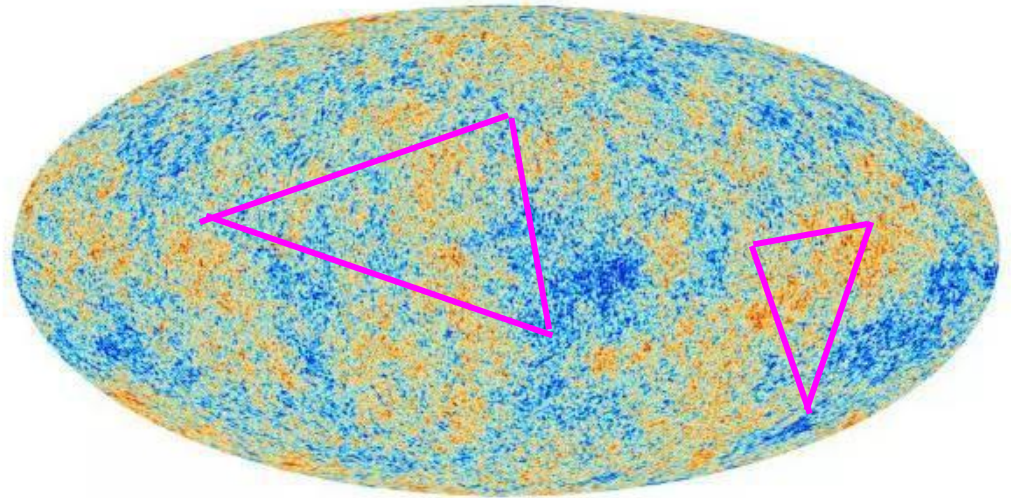
$$f_{\text{NL}}^{\text{ortho}} = -38 \pm 24$$

Future CMB-S4 constraints:

$$\sigma(f_{\text{NL}}^{\text{local}}) = 2.6$$

$$\sigma(f_{\text{NL}}^{\text{equil}}) = 21.2$$

$$\sigma(f_{\text{NL}}^{\text{ortho}}) = 9.1$$



A night sky filled with stars and the Milky Way galaxy, with a radio telescope structure visible in the foreground.

Thank You!

A night sky photograph featuring the Milky Way galaxy, which appears as a dense band of stars and dust stretching across the upper portion of the frame. The stars are predominantly white and blue, with some reddish and purple hues. In the lower foreground, the metal framework of a radio telescope is visible, showing a complex lattice of beams and supports. The overall scene is dark, with the light from the stars providing the primary illumination.

# Backup Slides

# Single Field Inflation Predicts Adiabatic Fluctuations

